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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/770,462	01/29/2001	John A. Kriho	014530/0320	8030
22428	7590	01/12/2005	EXAMINER	
FOLEY AND LARDNER SUITE 500 3000 K STREET NW WASHINGTON, DC 20007			HUYNH, CONG LAC T	
			ART UNIT	PAPER NUMBER
			2178	

DATE MAILED: 01/12/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/770,462

Applicant(s)

KRIHO ET AL.

Examiner

Cong-Lac Huynh

Art Unit

2178

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 12 July 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 40-77 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 40-77 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This action is responsive to communications: amendment filed 7/12/04 to the application filed on 1/29/01.
2. Claims 1-39 are canceled.
3. Claims 40-77 are added.
4. Claims 40-77 are pending in the case. Claims 40, 50, 58, 59, 68 and 77 are independent claims.
5. The rejections of claims 1-9, 14-22, 27-35 under 35 U.S.C. 112, second paragraph, have been withdrawn in view of the cancellation of claims 1-9, 14-22, 27-35.

Claim Rejections - 35 USC § 102

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

7. Claims 40-46, 49-54, 57-58 are rejected under 35 U.S.C. 102(a) as being anticipated by Kraft et al. (US pat No. 6,084,585, 7/4/00, filed 7/29/98, priority 12/5/97).

Regarding independent claim 40, Kraft discloses:

- designating a changeable field within a template wherein the changeable field includes a name (col 5, line 63 to col 6, line 19, figure 2: the field within the

template is changeable since it can be expand to add more entry by users and each field has a name)

- linking a plurality of data items to the changeable field (col 5, lines 37-43: data linked to the fields are pre-programmed for users to select; col 8, lines 43-58 and figure 6: a plurality of data linked to the field for user to select)
- creating a form wherein the form comprises the name of the changeable field paired with a list of the plurality of data items linked to the changeable field (figure 6 and col 8, lines 43-58: field name 312 paired with a list of data items in the pull-down menu 602 linked to said field)
- selecting a data item from the list (col 8, lines 43-58)
- populating the changeable field of the template with the selected data item thereby generating a document (figure 2 and col 8, lines 43-58: selecting a data item to populate the changeable field of the template to generate the complete form)

Regarding claim 41, which is dependent on claim 40, Kraft discloses providing a preview of the document by populating the changeable field of the template with one of the plurality of data items linked to the changeable field (col 2, lines 22-39, col 10, lines 34-44: view the form prior submission).

Regarding claim 42, which is dependent on claim 41, Kraft discloses populating the changeable field of the template with one of the plurality of data items comprises

defining a default selection to populate the changeable field (col 8, lines 43-58: the list of pre-entered data entries is the plurality of data items defined as a default selection of data to populate the changeable field).

Regarding claim 43, which is dependent on claim 41, Kraft discloses that populating the changeable field of the template with one of the plurality of data items comprises iteratively showing the preview with each data item in the list (col 2, lines 30-34).

Regarding claim 44, which is dependent on claim 40, Kraft discloses bundling the plurality of data items with the template in a file (col 5, lines 37-43, col 8, lines 43-58).

Regarding claim 45, which is dependent on claim 44, Kraft discloses that the file has a format of a layout application used to design the template (col 7, lines 50-67).

Regarding claim 46, which is dependent on claim 44, Kraft discloses sending the file to a server, extracting the template and the plurality of data items from the file at the server, and saving the extracted template and the extracted plurality of data items in a database (figure 1, col 10, lines 1-22: sending the complete form to the server; col 10, lines 15-25, 45-55, col 3, lines 5-31, figure 1: the data items and the template in the complete form when submitted to the server will be stored in the storage 108-110, which is a server database).

Art Unit: 2178

Regarding claim 49, which is dependent on claim 40, Kraft discloses automatically adjusting a size of the selected data item based on a size of the changeable field (col 9, lines 7-18: changing the size of a field by adding extra data fields to be associated with a single menu field, the existing data field can be enlarged shows that the size of the 46field is adjusted).

Claims 50-54, 57 are for a computer program product of method claims 40-46, 49, respectively, and are rejected under the same rationale.

Claim 58 is for a system of method claim 40, and is rejected under the same rationale.

Claim Rejections - 35 USC § 103

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to

Art Unit: 2178

consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

10. Claims 47 and 55 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kraft as applied to claim 40 above, and further in view of Dunsmoir et al. (US Pat No. 6,507,854 B1, 1/14/03, filed 11/5/99).

Regarding claim 47, which is dependent on claim 46, Kraft does not disclose modifying the template using a layout application used to design the template, the layout application executing at the server.

Dunsmoir discloses modifying the template using a layout application used to design the template, the layout application executing at the server (col 5, lines 58-67).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to have combined Dunsmoir into Kraft since Dunsmoir discloses modifying the template at the server providing the advantage to incorporate into Kraft for modifying the form in Kraft, which is a template, when said form is submitted to the server.

Claim 55 is for a computer program product of method claim 47, and is rejected under the same rationale.

11. Claims 48 and 56 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kraft as applied to claim 40 above, and further in view of Billiris et al. (US Pat No.6,212,552 B1, 4/3/01, filed 1/15/98).

Regarding claim 48, which is dependent on claim 40, Kraft does not disclose creating a mailing list, wherein the mailing list comprises a plurality of records to link to the changeable field of the template, and successfully populating the changeable field of the template with a record from the mailing list thereby generating a different document for each record.

Billiris discloses creating a mailing list, wherein the mailing list comprises a plurality of identities of message recipients (col 1, lines 40-64).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to have modified Billiris and combined Billiris into Kraft for linking the mailing list to the changeable field of the template and populating the changeable field of the template with a record from the mailing list to generate a different document for each record for the following reason. The mailing list including identities of message recipients in Billiris is a list of records of recipients as the plurality of data in Kraft.

Replacing the plurality of data linking to the changeable field in Kraft with the plurality of records of recipients in the mailing list linking to the changeable field and populating such records to the changeable field would provide different types of data to be used in populating the fields in the form.

Art Unit: 2178

Claim 56 is for a computer program product of method claim 48, and is rejected under the same rationale.

12. Claims 59-63, 65-72, 74-77 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kraft et al. (US Pat No. 6,084,585, 7/4/00, filed 7/29/98, priority 12/5/97) in view of Dunsmoir et al. (US Pat No. 6,507,854 B1, 1/14/03, filed 11/5/99).

Regarding independent claim 59, Kraft discloses:

- designating a changeable field within a template wherein the changeable field includes a name (col 5, line 63 to col 6, line 19, figure 2: the field within the template is changeable since it can be expand to add more entry by users and each field has a name)
- linking a plurality of data items to the changeable field (col 5, lines 37-43: data linked to the fields are pre-programmed for users to select; col 8, lines 43-58 and figure 6: a plurality of data linked to the field for user to select)
- sending the template and the plurality of data items to a server (col 10, lines 15-22 and figure 1: the complete form including the template and the plurality of data items is sent to computer 102, which is a server)

Kraft does not disclose:

- editing the template using the layout application, the layout application executing at the server

Dunsmoir discloses:

Art Unit: 2178

- editing the template using the layout application, the layout application executing at the server (col 5, lines 58-67)

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to have combined Dunsmoir into Kraft since Dunsmoir discloses modifying the template at the server providing the advantage to incorporate into Kraft for modifying the form in Kraft, which is a template, when said form is submitted to the server.

Regarding claim 60, which is dependent on claim 59, Kraft discloses:

- creating a form wherein the form comprises the name of the changeable field paired with a list of the plurality of data items linked to the changeable field (figure 6 and col 8, lines 43-58: field name 312 paired with a list of data items in the pull-down menu 602 linked to said field)
- selecting a data item from the list (col 8, lines 43-58)
- populating the changeable field of the template with the selected data item thereby generating a document (figure 2 and col 8, lines 43-58: selecting a data item to populate the changeable field of the template to generate the complete form)

Regarding claim 61, which is dependent on claim 60, Kraft discloses providing a preview of the document by populating the changeable field of the template with one of the plurality of data items linked to the changeable field (col 2, lines 22-39, col 10, lines 34-44: view the form prior submission).

Art Unit: 2178

Regarding claim 62, which is dependent on claim 61, Kraft discloses populating the changeable field of the template with one of the plurality of data items comprises defining a default selection to populate the changeable field (**col 8, lines 43-58**: the list of pre-entered data entries is the plurality of data items defined as a default selection of data to populate the changeable field).

Regarding claim 63, which is dependent on claim 61, Kraft discloses that populating the changeable field of the template with one of the plurality of data items comprises iteratively showing the preview with each data item in the list (col 2, lines 30-34).

Regarding claim 65, which is dependent on claim 60, Kraft discloses automatically adjusting a size of the selected data item based on a size of the changeable field (col 9, lines 7-18: changing the size of a field by adding extra data fields to be associated with a single menu field, the existing data field can be enlarged shows that the size of the field is adjusted).

Regarding claim 66, which is dependent on claim 60, Kraft discloses bundling the plurality of data items with the template in a file (col 5, lines 37-43, col 8, lines 43-58).

Regarding claim 67, which is dependent on claim 66, Kraft discloses sending the file to a server, extracting the template and the plurality of data items from the file at the server, and saving the extracted template and the extracted plurality of data items in a

database (figure 1, col 10, lines 1-22: sending the complete form to the server; col 10, lines 15-25, 45-55, col 3, lines 5-31, figure 1: the data items and the template in the complete form when submitted to the server will be stored in the storage 108-110, which is a server database).

Claims 68-72, 74-76 are for a computer program product of method claims 59-63, 65-67, respectively, and are rejected under the same rationale.

Claim 77 is for a system of method claim 59, and is rejected under the same rationale.

13. Claims 64 and 73 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kraft and Dunsmoir as applied in claim 60 above and further in view of Billiris et al (US Pat No. 6,212,552 B1, 4/3/01, filed 1/15/98).

Regarding claim 64, which is dependent on claim 60, Kraft and Dunsmoir do not disclose creating a mailing list, wherein the mailing list comprises a plurality of records to link to the changeable field of the template, and successfully populating the changeable field of the template with a record from the mailing list thereby generating a different document for each record.

Billiris discloses creating a mailing list, wherein the mailing list comprises a plurality of identities of message recipients (col 1, lines 40-64).

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to have modified Billiris and combined Billiris into Kraft and Dunsmoir for linking the mailing list to the changeable field of the template and populating the changeable field of the template with a record from the mailing list to generate a different document for each record for the following reason. The mailing list including identities of message recipients in Billiris is a list of records of recipients as the plurality of data in Kraft. Replacing the plurality of data linking to the changeable field in Kraft with the plurality of records of recipients in the mailing list linking to the changeable field and populating such records to the changeable field would provide different types of data to be used in populating the fields in the form.

Claim 73 is for a computer program product of method claim 64, and is rejected under the same rationale.

Conclusion

14. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the

Art Unit: 2178

shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

15. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Prithviraj et al. (US Pat No. 5,987,513, 11/16/99, filed 5/6/97).

Hayashi et al. (US Pat No. 6,014,677, 1/11/00, filed 6/10/96).

Malone et al. (US Pat No. 5,900,870, 5/4/99, filed 11/9/94).

Dan et al. (US Pat No. 6,560,639 B1, 5/6/03, filed 2/12/99).

Fascenda (US Pat No. 6,560,604 B1, 5/6/03, filed 3/10/00).

McEvoy et al. (US Pat App Pub No. 2001/0023408 A1, 9/20/01, filed 5/18/01, priority 2/19/99).

16. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cong-Lac Huynh whose telephone number is 571-272-4125. The examiner can normally be reached on Mon-Fri (8:30-6:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Hong can be reached on 571-272-4124. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 2178

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Clh
1/4/05



STEPHEN HONG
SUPERVISORY PATENT EXAMINER